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INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is filed in conformity with 37 C.F.R. §§ 1.56, 1.97 and 1.98. Accordingly, copies of the art cited herein are provided.

REMARKS

The following list of patents and publications is being submitted in addition to form PTO–1449 to assist the Examiner in the consideration of the cited disclosures. For organizational purposes only, the patents and publications have been segregated into subject categories and placed in reverse chronological order to further assist the Examiner when considering these citations. Therefore, no inference or limitation as to the materiality of these citations should be drawn from the organization of the citations as submitted.

A concise explanation of the relevance of the individual citation is included below for citations not published in the English language.

The citations have been given a unique citation number within subject categories for purposes of clarity only. The citation numbers have generally been kept consistent with the citation numbers used in applications to which the instant application claims priority. For that reason there may nonconsecutive citation numbers in certain categories. Where a number appears in parentheses (i.e. (##)) preceding the citation, the parenthetical number references a

specific citation to that paper within the patent specification. Some citations may have relevance to more than one "subject category," but we have attempted to include each citation only once, and place each citation in one "subject category."

A. HEATING OF NEOPLASTIC TISSUE

PATENTS

A1. United States Patent No. 6,565,887 B1 issued to Gray, *et al.*, entitled "Targeted hysteresis hyperthermia as a method for treating diseased tissue."

A2. United States Patent Application Pub. No. US 2002/0008336 A1 by Otobe et al., entitled " Ferrite Material, Method of Manufacturing the Same and Deflection Yoke Core Made from the Material"

A3. United States Patent No. 6,451,044 issued to Naghavi, *et al.*, entitled "Method and Apparatus for heating inflamed tissue."

A4. United States Patent No. 6,195,411 issued to Dinsmore, entitled "Miniature X-Ray Source With Flexible Probe"

A5. United States Patent No. 6,186,941 issued to Blackwell entitled "Magnetic Coil For pulsed Electromagnetic Field"

A6. United States Patent No. 6,174,276 issued to Blackwell entitled "Magnetic Coil For Pulsed Electromagnetic Field"

A7. United States Patent No. 6,167,313 issued to Gray, *et al.*, entitled "Targeted hysteresis hyperthermia as a method for treating diseased tissue."

A8. United States Patent No. 6,143,019 issued to Motamedi et al., entitled "Method For Emitting Therapeutic Energy Within Tissue"

A9. United States Patent No. 6,139,536 issued to Mikus et al., entitled "Urological Stent Therapy System And Method"

A10. United States Patent No. 6,083,148 issued to entitled "Williams entitled "Tumor Treatment"

A11. United States Patent No. 6,081,749 issued to Ingle et al., entitled "Noninvasive Devices, Methods, and Systems for Shrinking of Tissues"

A12. United States Patent No. 6,074,337 issued to Tucker et al., entitled "Combination Radioactive and Temperature Self-Regulating Thermal Seed Implant for Treating Tumors"

A13. United States Patent No. 6,022,308 issued to Williams entitled "Tumor Treatment"

A14. United States Patent No. 6,007,474 issued to Rydell entitled "Radioactive And/Or Thermal Seed Implantation Device"

A15. United States Patent No. 5,976,067 issued to Tucker et al., entitled "Combination Radioactive and Temperature Self-Regulating Thermal Seed Implant for Treating Tumors"

A16. United States Patent No. 5,928,217 issued to Mikus et al., entitled "Urological Stent Therapy System And Method"

A17. United States Patent No. 5,906,636 issued to Casscells, III et al. entitled "Heat Treatment Of Inflamed Tissue"

A18. United States Patent No. 5,715,837 issued to Chen, entitled "Transcutaneous electromagnetic energy transfer."

A19. United States Patent No. 5,571,153 issued to Wallsten, entitled "Device for hyperthermia treatment."

A20. United States Patent No. 5,468,210 issued to Matsui, *et al.*, entitled "Process of thermal treatment in tissue."

A21. United States Patent No. 5,433,708 issued to Nichols, *et al.*, entitled "Method and device for thermal ablation having improved heat transfer."

A22. United States Patent No. 5,429,583 issued to Paulus et al., entitled "Cobalt Palladium Seeds For Thermal Treatment Of Tumors"

A23. United States Patent No. 5,425,731 issued to Daniel, *et al.*, entitled "Instrument for cutting, coagulating and ablating tissue."

A24. United States Patent No. 5,251,645 issued to Fenn entitled "Adaptive Nulling Hyperthermia Array"

A25. United States Patent No. 5,203,782 issued to Gudov, *et al.*, entitled "Method and apparatus for treating malignant tumors by local hyperpyrexia."

A26. United States Patent No. 5,197,940 issued to Sievert et al., entitled "Local Application Tumor Treatment Apparatus"

A27. U.S. Patent No. 5,133,710 issued to Carter, Jr., et al. entitled "Thermal seed for treatment of tumors."

A28. United States Patent No. 5,108,359 issued to Granov, *et al.*, entitled "Hemangioma treatment method."

A29. United States Patent No. 5,099,756 issued to Franconi, *et al.*, entitled "Radio frequency thermotherapy."

A30. United States Patent No. 5,067,952 issued to Gudov, *et al.*, entitled "Method and apparatus for treating malignant tumors by local hyperpyrexia."

A31. United States Patent No. 4,983,159 issued to Rand, entitled "Inductive heating process for use in causing necrosis of neoplasms at selective frequencies."

A32. United States Patent No. 4,869,247 issued to Howard, III, *et al.*, entitled "Video tumor fighting system."

A33. United States Patent No. 4,846,196 issued to Wiksell, *et al.*, entitled "Method and device for the hyperthermic treatment of tumors."

A34. United States Patent No. 4,798,215 issued to Turner, entitled "Hyperthermia apparatus."

A35. United States Patent No. 4,690,130 issued to Mirell, entitled "Electromagnetic therapy control system."

A36. United States Patent No. 4,574,782 issued to Borrelli, *et al.*, entitled "Radio frequency induced hyperthermia for tumor therapy."

A37. United States Patent No. 4,545,368 issued to Rand, *et al.*, entitled "Induction heating method for use in causing necrosis of neoplasm."

A38. United States Patent No. 4,520,249 issued to Czerlinski, entitled "Method and apparatus for selective localized differential hyperthermia of a medium."

A39. United States Patent No. 4,369,345 issued to Czerlinski, entitled "Method and apparatus for selective localized differential hyperthermia of a medium."

A40. United States Patent No. 4,323,056 issued to Borrelli et al., entitled "Radio Frequency Induced Hyperthermia For Tumor Therapy"

A41. United States Patent No. 4,138,998 issued to Nowogrodzki entitled "Indicating Temperature Within Living Tissue"

A42. United States Patent No. 3,948,785 issued to Berchtold entitled "Process of Manufacturing Ferrite Materials with Improved Magnetic and Mechanical Properties"

A43. United States Patent No. 3,837,910 issued to Van der Laan et al., entitled "Method of Manufacturing a Polycrystalline Ferrite Body"

A44. United States Patent No. 3,653,385 issued to Burton, entitled "Production of focal brain lesions by inductive heating."

A45. United States Patent No. 3,252,913 issued to Van Gils, et al., entitled "Method For Preparing Manganese-Zinc-Ferrous Ferrite"

A46. United States Patent No. 2,958,664 issued to Vassiliev, et al., entitled "Making Manganese-Zinc Ferrites"

A47. European Patent Application EP 0 970 724 A2 issued to Williams entitled "Tumour Treatment Apparatus"

A48. European Patent Application EP 0 459 520 A2 issued to Saito, entitled "Electromagnetic-Wave-Operated Heating Apparatus"

A49. European Patent Application EP 0 459 520 A3 issued to Saito entitled "Electromagnetic-Wave-Operated Heating Apparatus"

A50. European Patent Application EP 0 152 963 A2 issued to Kraus, W., entitled "Electrotherapeutic Device."

Describes an electrotherapeutic device using electromagnetic fields and external coils to accomplish heating of tissue. An applicator of high frequency microwave radiation is modulated by low frequency alternating voltage induced in a receiver coil attached to tissue electrodes. A translation of the abstract is attached.

A51. UK Patent Application GB 2 102 127 A issued to Bresler et al., entitled "Determining The Position Of A Device Inside Biological Tissue"

A52. German patent DE 34 06 565 C2 issued to Kraus, W., "A device for generating a low-frequency alternating voltage at two tissue electrodes of an implant intended for tissue regeneration."

Describes an electrotherapeutic device using electromagnetic fields and external coils to accomplish stimulation and growth of tissue. A low frequency alternating voltage is generated in two tissue electrodes and induced in a receiver coil by means of at least one high frequency carrier. A translation of the claims is attached.

A53. German patent DE 44 33 502 A1 issued to Kneissl, W., "Nuclear-spin tomography instrument with high-frequency therapeutic device"

Describes an instrument with a high frequency transmitter for exciting nuclear spins. High-frequency power can be decoupled from the high-frequency transmitter and used for a high-frequency coagulator or for a heatable implant. A translation of the abstract is attached.

A54. Russian Publication No. RU 2082458C1 issued to Evgen'evich, et al., "Method to conduct hyperthermic electromagnetic therapy of malignant neoplasm."

Describes a method to conduct hyperthermic electromagnetic therapy of neoplastic tissue by injecting a

suspension of ferromagnetic particles with a dielectric-metal phase transition in the temperature range of 42-45°C. A translation of the abstract is attached.

A55. International Publication No. W0 00/38 602 issued to Naghavi et al., entitled "Method And Apparatus For Heating Inflamed Tissue"

PUBLICATIONS

A101. Matsui, T., et al., "A novel ferromagnetic thermo-stent for plaque stabilization that self-regulates the temperature." *IEEE Trans. Biomed. Eng.,* 49 (6): 621-623 (2002).

A102. Magnetic Sensors and Magnetometers, edited by P. Ripka, Artech House, Inc., Norwood, MA, pp 75-127, and 380-391 (2001).

A103. (13) Falk, et al., "Hyperthermia in Oncology" *Int. J. Hyperthermia,* 17 (1): 1-18 (2001).

A104. Janetski, et al., "Immunization of cancer patients with autologous cancer-derived heat shock protein gp96 preparations: A pilot study." *Int. J. Cancer* 88: 232-238 (2000).

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A106. Cetas, et al., "A Ferrite Core/Metallic Sheath Thermoseed for Interstitial Thermal Therapies", *IEEE Trans. Biomed. Eng.,* 45 (1) (1998).

A107. (6) Fenn, et al, "An Adaptive Microwave Phased Array For Targeted Heating Of Deep Tumors In Intact Breast: Animal Studies Results." *Int. J. Hyperthermia*, Vol. 15, No. 1, pp 45-61 (1999).

A108. (41) Ajishi, Y., et al., "Preparation and Evaluation of Temperature–Sensitive Magnetic Thin Film With a Low Curie Temperature", *T. IEEE Japan*, Vol 118-A, (2): 158-163 (1998). (Translation from Japanese attached)

A109. Paulus, et al., "Thermal Ablation of Canine Prostate Using Interstitial Temperature Self-Regulating Seeds: New Treatment for Prostate Cancer", *J. of Endourology* 11 (4)s: 295-300 (1997).

A110. (10) Arkin, H. et al., "Recent Developments in Modeling Heat Transfer in Blood Perfused Tissues," *IEEE Transactions on Bio-Medical Engineering* 41 (2) 97-107 (1994).

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A112. Wu, B., et al., "Antitumor effect of interleukin 7 in combination with local hyperthermia in mice bearing B16a melanoma cells." *Stem Cells* 11: 412-421 (1993) [ABSTRACT ONLY]

A113. (8) Haider, et al., "Power Absorption in Ferromagnetic Implants from Radio Frequency Magnetic Fields and the Problem of Optimization." *IEEE Transactions On Microwave Theory and Techniques* 39: 1817-1827 (1991).

A114. (40) Hynynen, et al., "Hyperthermia in Cancer Treatment" *Investigative Radiology* 25: 824-834 (1990).

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A117. Matsuki, and Murakami "High quality soft heating method utilizing temperature dependence of permeability and core loss of low Curie temperature ferrite." *IEEE Transactions on Magnetics*, MAG-21 (5) p. 1927-1929 (September 1985).

A118. Stauffer, P.R., *et al.*, "Observations on the use of Ferromagnetic implants for inducing hyperthermia." *IEEE Transactions on Biomedical Engineering* BME-1 (1) 76-90 (January 1984).

A119. (5) R. A. Holman, Letter "Hyperthermia and Cancer", *Lancet*, pp. 1027-1029 (May 3, 1975).

A120. (3) Strom, et al., "The Biochemical Mechanism of Selective Heat Sensitivity of Cancer Cells – IV. Inhibition of RNA Synthesis." *Europ. J. Cancer* 9:103-112 (1973).

A121. (2) Castagna, et al., "Studies on the Inhibition by Ethionine of Aminoazo Dye Carcinogenesis in Rat Liver." *Cancer Research* 32:1960-1965 (1972).

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A123. Murakami, K., "The characteristics of ferrite cores with low curie temperature and their application." *IEEE Transactions On Magnetics* June 1965 96-100 (1965).

B. THERMOTHERAPY AND AGENT RELEASE

PATENTS

B1. United States Patent No. 6,497,647 issued to Tucker entitled "Radiation and Thermal Energy Source"

B2. United States Patent No. 6,197,051 issued to Zhong entitled "Polycarbonate-Polyurethane Dispersions For Thrombo-Resistant Coatings"

B3. United States Patent No. 5,810,888 issued to Fenn, entitled "Thermodynamic Adaptive Phased Array System For Activating Thermosensitive Liposomes In Targeted Drug Delivery"

B4. United States Patent No. 5,490,840 issued to Uzgiris et al., entitled "Targeted Thermal Release Of Drug-Polymer Conjugates"

B10. United States Patent No. 6,303,142 issued to Zhang, *et al.*, entitled "Methods and apparatus for improved administration of pharmaceutically active compounds."

B11. United States Patent No. 6,245,347 issued to Zhang, *et al.*, "Methods and apparatus for improved administration of pharmaceutically active compounds."

B12. United States Patent No. 5,919,479 issued to Zhang, *et al.*, entitled "Noninvasive dermal anesthetics."

B13. United States Patent No. 5,853,752 issued to Unger, *et al.*, entitled "Methods for preparing gas and gaseous precursor-filled microspheres."

PUBLICATIONS

B5. Niemz, M.H., Laser-Tissue Interactions, Fundamentals and Applications Springer, pp. 77-80 (2002). A copy of the cited pages, along with a copy of the entire references section of the book is enclosed to enable the Examiner to identify citations in the article.

B6. (13) Falk, et al., "Hyperthermia In Oncology", *Int. J. Hyperthermia* 17: 1-18 (2001).

B7. (11) Kong, et al., "Efficacy of Liposomes and Hyperthermia in a Human Tumor Xenograft Model: Importance of Triggered Drug Release", *Cancer Research* 60: 6950-6957 (2000).

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isopropylacrylamide) and Poly (butylmethacrylate)," *Journal of Controlled Release* (Netherlands), 62 (2): 115-127 (November 1, 1999).

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C. HEAT SHOCK

PATENTS

C1. United States Patent No. 6,187,312 issued to Srivastava entitled "Compositions And Methods Using Complexes Of Heat Shock Protein 90 And Antigenic Molecules For The Treatment And Prevention Of Infectious Diseases"

C2. United States Patent No. 6,030,618 issued to Srivastava entitled "Therapeutic And Prophylactic Methods Using Heat Shock Proteins"

C3. United States Patent No. 5,935,576 issued to Srivastava entitled "Compositions and Methods For The Treatment And Prevention Of Neoplastic Diseases Using Heat Shock Proteins Complexed With Exogenous Antigens"

C4. United States Patent No. 5,830,464 issued to Srivastava entitled "Compositions and Methods For The Treatment and Growth Inhibition Of Cancer Using Heat Shock/Stress Protein-Peptide Complexes in Combination With Adoptive Immunotherapy"

C5. United States Patent No. 5,447,843 issued to McGuire et al., entitled "Heat Shock/Stress Response Proteins And Prognosis In Cancer"

PUBLICATIONS

C6. Ito, A., Shinkai, M., Honda, H., Wakabayashi, T., Yoshida, J., and Kobayashi, T., "Augmentation of MHC Class I Antigen Presentation via Heat Shock Protein Expression by Hyperthermia." *Cancer Immunol. Immunother.,* 50: 515-522 (2001).

C7. Schiaffonati, et al., "Hyperthermia induces gene expression of heat shock protein 70 and phosphorylation of mitogen activated protein kinases in the rat cerebellum", *Neuros, Ltrs* 312: 75-78 (2001).

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C9. (15) Anderson, et al., "Heat, heat shock, heat shock proteins and death: a central link in innate and adaptive immune responses" *Immunology Letters*, 74: 35-39 (2000).

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C11. Jolly, Caroline and Morimoto, Richard I., "Review: Role of the Heat Shock Response and Molecular Chaperones in Oncogenesis and Cell Death." *Journal of the National Cancer Institute*, 92 (19): pp 1564-1572 (Oct 4, 2000).

C12. Binder, et al., "CD91: a receptor for heat shock protein gp96", *Nature Immunology*, 1 (2): 151-155 (2000).

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C16. Morrison, A.J., Rush, S.J., and Brown, I.R., "Heat Shock Transcription Factors and the hsp70 Induction Response in Brain and Kidney of the Hyperthermic Rat During Postnatal Development." *Journal of Neurochemistry*, 75: 363-372 (2000).

C17. Wells, et al., "Heat shock proteins, tumor immunogenicity and antigen presentation: an integrated view", Immunology today, 21 (3): 129-132 (2000).

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C20. Dressel, et al., "Enhanced susceptibility to cytotoxic T lymphocytes without increase of MHC class I antigen expression after conditional overexpression of heat shock protein 70 in target cells", *Eur. J. Immunol.* 29: 3925-3935 (1999).

C21. Housby, et al., "Non-Steroidal Anti-Inflammatory Drugs Inhibit The Expression Of Cytokines And Induce HSP70 In Human Monocytes", *CYTOKINE*, 11 (5) 347-358 (May 1999).

C22. (16) Srivastava, et al., "Heat Shock Proteins Come of Age: Primitive Functions Acquire New Role In an Adaptive World" *Immunity*, 8 (6), pp 657-665 (1998).

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C28. Albert, M.L. *et al.,* "Dendritic Cells Acquire Antigen from Apoptotic Cells and Induce Class I Restricted CTLs." *Nature,* 392: 86-89 (5 Mar 1998).

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C33. Speiser et al., "Self Antigens Expressed by Solid Tumors Do Not Efficiently Stimulate Naïve or Activated T Cells: Implications for Immunotherapy", *J. Exp. Med.* 186 (5): 645-653 (1997).

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D. IN STENT RESTENOSIS

PATENTS

D1. United States Patent No. 6,319,251 issued to Tu et al., entitled "Medical Device And Methods For Treating Intravascular Restenosis"

D2. United States Patent No. 6,206,916 issued to Furst, entitled "Coated Intraluminal Graft"

D3. United States Patent No. 6,203,508 issued to Ren et al., entitled "Thermal And Stress Mapping Of Body Lumens"

D4. United States Patent No. 6,200,307 issued to Kasinkas et al., entitled "Treatment Of In-Stent Restenosis Using Cytotoxic Radiation"

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D6. United States Patent No. 6,197,296 issued to Davies et al., entitled "Tissue Equivalents"

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D16. United States Patent No. 6,179,824 issued to Eggers et al., Entitled "System And Methods For Electrosurgical Restenosis Of Body Lumens"

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D35. United States Patent No. 6,319,242 issued to Patterson, *et al.* entitled "Apparatus and method for controlled removal of stenotic material from stent."

D36. United States Patent No. 6,193,669 issued to Degany *et al.*, entitled "System and method for detecting, localizing, and characterizing occlusions, stent positioning, dissections and aneurysms in a vessel."

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D42. United States Patent No. 5,057,095, issued to Fabian entitled "Surgical implement detector utilizing a resonant marker."

D43. United States Patent No. 4,979,948 issued to Geddes, *et al.*, entitled "Method and apparatus for thermally destroying a layer of an organ."

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D45. United States Patent No. 4,807,620 issued to Strul, *et al.*, entitled "Apparatus for thermal angioplasty."

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G. OTHER

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G2. United States Patent No. 5,690,847 issued to LaValley, *et al.*, entitled "Pivotal tweezer having gears and self-regulating curie-point heaters."

G3. United States Patent No. 5,611,798 issued to Eggers, entitled "Resistively heated cutting and coagulating surgical instrument."

G4. United States Patent No. 5,456,718 issued to Szymaitis, entitled "Apparatus for detecting surgical objects within the human body."

G5. United States Patent No. 5,378,879 issued to Monovoukas, entitled "Induction heating of loaded materials."

G6. United States Patent No. 5,329,085 issued to Cowell, *et al.*, entitled "Temperature self regulating heaters and soldering irons."

G7. United States Patent No. 5,300,750 issued to Carter, Jr., *et al.*, entitled "Thermal induction heater."

G8. United States Patent No. 5,134,370 issued to Jefferts, *et al.*, entitled "Apparatus for the detection of magnetic tags."

G9. United States Patent No. 5,057,095 issued to Fabian, entitled "Surgical implement detector utilizing a resonant marker."

G10. United States Patent No. 4,364,390 issued to Shaw, entitled "Surgical instrument having self-regulating dielectric heating of its cutting edge and method of using the same."

G11. United States Patent No. 4,364,377 issued to Smith, entitled "Magnetic field homeostasis."

G12. United States Patent No. 4,209,017 issued to Shaw, entitled "Surgical instrument having self-regulating radiant heating of its cutting edge and method of using the same."

G13. United States Patent No. 4,207,896 issued to Shaw, entitled "Surgical instrument having self-regulating dielectric heating of its cutting edge."

G14. United States Patent No. 4,091,813 issued to Shaw, *et al.*, entitled "Surgical instrument having self-regulated electrical proximity heating of its cutting edge and method of using the same."

G15. United States Patent No. 4,074,249 issued to Minasy, entitled "Magnetic detection means."

G16. United States Patent No. 3,587,583 issued to Greenberg, entitled "Surgical sponge with magnetized means."

G17. United States Patent No.3,422,816 issued to Robinson, *et al.*, entitled "Surgical Dressing."

It respectfully is submitted that none of the foregoing art, alone or in combination, shows or proposes the present invention. Accordingly, favorable action on the application respectfully is requested.

Respectfully submitted,

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 24

Complete if Known	
Application Number	10/733,970
Filing Date	December 11, 2003
First Named Inventor	Philip E. Eggers
Art Unit	3739
Examiner Name	
Attorney Docket Number	CAL 2-018

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No.[1]	Document Number Number-Kind Code[2] (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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	A47	EP 0 970 724 A2	12-01-2000	Williams		
	A48	0 459 520 A2	04-12-1991	Saito, et al.		
	A49	0459 520 A3	04-12-1991	Saito		
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	A51	201020127 A	01-26-1983	Bresler, et al.		
	A52	DE 34 06 565 C 2	04-27-1995	Kraus		✓

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	A53	DE 44 33 502 A 1	03-21-1996	Siemens, et al.		✔
	A54	RU 2 082 458 C1	06-27-1997	Evgen'evich, et		✔
	A55	WO 00/38602	07-02-2000	Naghavi		

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 5 of 24

Complete if Known	
Application Number	10/733,970
Filing Date	December 11, 2003
First Named Inventor	Philip E. Eggers
Art Unit	3739
Examiner Name	
Attorney Docket Number	CAL 2-018

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.[1]	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T[2]
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Sheet 6 of 24

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	B2	US- 6,197,051	03-06-2001	Zhong	
	B3	US- 5,810,888	09-22-1998	Fenn	
	B4	US- 5,490,840	02-13-1996	Uzgiris, et al.	
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	B13	US- 5,853,752	12-29-19980	Unger, et al.	
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	B5	Niemz, M.H., Laser-Tissue Interactions, Fundamentals and Applications Springer, pp. 77-80 (2002). A copy of the cited pages, along with a copy of the entire references section of the book is enclosed to enable the Examiner to identify citations in the article.	
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Sheet 10 of 24

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	C2	US- 6,030,618	02-29-2000	Srivastava	
	C3	US- 5,935,576	08-10-1999	Srivastava	
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	C5	US- 5,447,843	09-05-1995	McGuire. et al	
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	C6	Ito, A., Shinkai, M., Honda, H., Wakabayashi, T., Yoshida, J., and Kobayashi, T., "Augmentation of MHC Class I Antigen Presentation via Heat Shock Protein Expression by Hypert	
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	D22	0 392 837	10-17-1990	Geddes, et al.		
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	F7	(26) Neiland, Thomas J. F., M. C. Agnes A. Tan, Monique Monnee-van Muijen, Frits Koning, Ada M. Kruisbeek, and Grada M. van Bleek, "Isolation of an immunodominant viral peptide that is endogenously bound to the stress protein gp96/GRP94." Proc. Nat'l Acad. Sci. USA, 93: 6135-6139 (1996).	

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 24 of 24

Complete if Known	
Application Number	10/733,970
Filing Date	December 11, 2003
First Named Inventor	Philip E. Eggers
Art Unit	3739
Examiner Name	
Attorney Docket Number	CAL 2-018

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No.[1]	Document Number Number-Kind Code[2] (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	G1	US- 5,494,035	02/27/1996	Leuthold, et al.	
	G2	US- 5,690,847	11/25/1997	LaValley, et al.	
	G3	US- 5,611,798	03/18/1997	Eggers	
	G4	US- 5,456,718	10/10/1995	Szymaitis	
	G5	US- 5,378,879	01/03/1993	Monovoukas	
	G6	US- 5,329,085	07/12/1994	Cowell, et al.	
	G7	US- 5,300,750	05/05/1994	Carter, Jr. et al.	
	G8	US- 5,134,370	07/28/1992	Jefferts, et al.	
	G9	US- 5,057,095	10/15/1991	Fabian	
	G10	US- 4,364,390	12/21/1982	Shaw	
	G11	US- 4,364,377	12/21/1982	Smith	
	G12	US- 4,209,017	06/24/1980	Shaw	
	G13	US- 4,207,896	06/17/1980	Shaw	
	G14	US- 4,091,813	05/30/1978	Shaw, et al.	
	G15	US- 4,074,249	02/14/1978	Minasy	
	G16	US- 3,587,583	06/28/1971	Greenberg	
	G17	US- 3,422,816	01/21/1969	Robinson, et al.	
		US-			
		US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.[1]	Foreign Patent Document Country Code[3]-Number[4]-Kind Code[5] (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T[6]

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	10/733,970
		Filing Date	12/11/2003
		First Named Inventor	Eggers, Philip E.
		Art Unit	3739
		Examiner Name	
Total Number of Pages in This Submission	46	Attorney Docket Number	CAL 2-018

ENCLOSURES *(Check all that apply)*

- [ ] Fee Transmittal Form
- [ ] Fee Attached
- [ ] Amendment/Reply
- [ ] After Final
- [ ] Affidavits/declaration(s)
- [ ] Extension of Time Request
- [ ] Express Abandonment Request
- [x] Information Disclosure Statement
- [ ] Certified Copy of Priority Document(s)
- [ ] Response to Missing Parts/ Incomplete Application
- [ ] Response to Missing Parts under 37 CFR 1.52 or 1.53
- [ ] Drawing(s)
- [ ] Licensing-related Papers
- [ ] Petition
- [ ] Petition to Convert to a Provisional Application
- [ ] Power of Attorney, Revocation Change of Correspondence Address
- [ ] Terminal Disclaimer
- [ ] Request for Refund
- [ ] CD, Number of CD(s) _____
- [ ] After Allowance communication to Group
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- [ ] Other Enclosure(s) (please Identify below):

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Mueller and Smith, LPA
Signature	
Date	10 August 2004

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Typed or printed name	Gail James		
Signature	Gail James	Date	10 August 2004